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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/797,608

03/11/2004

Albert Jan Hendrik Klomp

081468-0308663

00909 PILLSBURY WINTHROP, LLP P.O. BOX 10500 MCLEAN, VA 22102 CONFIRMATION NO. 4060 FORMALITIES LETTER
\*OC000000012787270\*

Date Mailed: 05/27/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37).
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$230 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

### **SUMMARY OF FEES DUE:**

11/10/2004 SDENEOB1 00000063 033975

10797608

Total additional fee(s) required for this application is \$1130 for a Large Entity

01 FC:1001 790.00 DA 02 FC:1051 130.00 DA 03 FC:1202 144.00 DA 04 FC:1201 88.00 DA

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$230
  - \$86 for 1 independent claims over 3.
  - \$144 for 8 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

O 1 P Attorney Docket: 081468-0308663 Client Reference: P-1621.010-US

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re ATENT APPLICATION of: ALBERT JAN HENDRIK KLOMP ET AL.
Application No.: 10/797,608 Confirmation No: 4060

Filed: March 11, 2004

Group Art Unit: 3652

Examiner:

Title: TEMPERATURE CONDITIONED LOAD LOCK, LITHOGRAPHIC APPARATUS COMPRISING SUCH A LOAD LOCK AND METHOD OF MANUFACTURING A SUBSTRATE WITH SUCH A LOAD LOCK

#### RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

NOV 0 9 2004

Applicants respectfully request a 4 month extension of time to respond to the Notice to File Missing Parts of Application mailed May 27, 2004. The requisite extension fee is enclosed, where applicable.

In response to the Notice to File Missing Parts of Application mailed May 27, 2004, a copy of which is enclosed, Applicants herewith submit the following:

Executed Combined Declaration and Power of Attorney of Inventors
Assignment and Recordation Cover Sheet
Information Disclosure Statement and PTO-1449 (with foreign search report and cited foreign references)
Submission of Priority Documents
Supplemental Application Data Sheet

Please see attached PTO/SB/17 for payment of fees.

Respectfully submitted,

PILLSBURY WINTHROP LLP

11/10/2004 SDENBOB1 00000063 033975 10797608

05 FC:1254 1530.00 DA

Emily T. Bell

Βv

Registration No. 47418 Telephone: (703) 905-2261

Date: November 9, 2004

P.O. Box 10500 McLean, VA 22102 Customer No.: 00909

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PTO/SB/17 (10-04 v2)
Approved for use through 07/31/2006. OMB 0651-0032

Under the Papervork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number							
FEE TRANSMITTAL			Complete if Known				
FEE IKANSIVIIIIAL		Application Number			er 10/797,608	10/797,608	
for FY 2005		Filing Date			March 11, 2004	March 11, 2004	
		First Named Inventor		d Inver	ntor ALBERT JAN HENDRIK KLOMI	ALBERT JAN HENDRIK KLOMP	
Effective 10/01/2004. Patent fees are subject to annual revision.		Examiner Name		lame			
Applicant claims small entity status. See 37 CFR 1.27		Art Unit			3652	3652	
TOTAL AMOUNT OF PAYMENT (\$) 2,722.00		Attorney Docket No.		cket N			
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
	2 ^	DDITI	ONA		<u> </u>		
Check Credit card Money Other None		Entity			.5		
X Deposit Account:	Fee	Fee	Fee	Fee	Fee Description _		
Deposit Account 033975	Code		Code		· Fee_		
Number Deposit	1051 1052		2051		Surcharge - late filing fee or oath  Surcharge - late provisional filing fee or	130.00	
Account Name PILLSBURY WINTHROP LLP					cover sheet		
The Director is authorized to: (check all that apply)	1053		1053		Non-English specification	$\neg$	
X Charge fee(s) indicated below X Credit any overpayments		2,520	1	_,	For filing a request for ex parte reexamination		
X Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month		
FEE CALCULATION	1252		2252		Extension for reply within second month		
1. BASIC FILING FEE	1253		2253		Extension for reply within third month		
Large Entity Small Entity  Fee Fee   Fee Fee   Fee Paid   Fee Paid	ı	1,530	2254			30.00	
Code (\$) Code (\$)		2,080	2255		Extension for reply within fifth month		
1001 790 2001 395 Utility filing fee 790.00	1401		2401	170	Notice of Appeal		
1002 350	1402		2402		Filing brief in support of an appeal		
1004 790 2004 395 Reissue filing fee	1403	300	2403		Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 790.00	1452	110	2452	55	Petition to revive - unavoidable		
	1453	1,370	2453	685	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,370	2501	685	Utility issue fee (or reissue)		
Extra Claims below Fee Paid	1502	490	2502	245	Design issue fee		
Total Claims 28 -20** = 8 X 18 = 144 Independent	1503	660	2503	330	Plant issue fee		
Independent 4 - 3** = 1 × 88 = 88  Multiple Dependent	1460		1460		Petitions to the Commissioner		
	1807	50	1807		Processing fee under 37 CFR 1.17(q)	$\dashv$	
Large Entity   Small Entity Fee Fee   Fee Fee   Fee Description	1806	180	1806	180	Submission of Information Disclosure Stmt	$\dashv$	
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00	
1202 18 2202 9 Claims in excess of 20 1201 88 2201 44 Independent claims in excess of 3	1809	790	2809	395	Filing a submission after final rejection		
1203 300 2203 150 Multiple dependent claims in excess of 3	1810	790	2810	395	(37 CFR 1.129(a)) For each additional invention to be		
1204 88 2204 44 ** Reissue independent claims	1010	150	2010	000	examined (37 CFR 1.129(b))		
over original patent	1801		2801		Request for Continued Examination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900	Request for expedited examination of a design application		

SUBTOTAL (3) (\$) 1,700.00 tor number previously paid, if greater; For Reissues, see above (Complete (if applicable) SUBMITTED BY Registration No. Telephone 703. 905.2261 Emily T. Bell Name (Print/Type) 47418 November 9, 2004 Signature

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(\$)

SUBTOTAL (2)

232.00